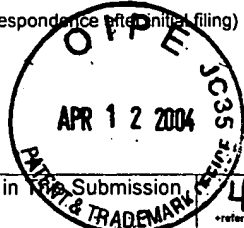


TRANSMITTAL FORM

(to be used for all correspondence with initial filing)



Application Number **10/724,946**

Filing Date **12/01/2003**

First Named Inventor **Lue et al.**

Group Art Unit **1713**

Examiner Name **Unassigned**

Total Number of Pages in Submission **4** Attorney Docket Number **2003U039.US**

ENCLOSURES (check all that apply)

- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Preliminary Amendment / Response
<input type="checkbox"/> After Final Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Form-1449
<input checked="" type="checkbox"/> Information Disclosure Statement
<input checked="" type="checkbox"/> 1449 Form
<input checked="" type="checkbox"/> Copies of Cited References
<input type="checkbox"/> Certified Copy of Priority Documents)
<input type="checkbox"/> Response to Missing Part/Incomplete Application
<input type="checkbox"/> Response to Missing Parts | <input type="checkbox"/> Assignment Papers (for an Application)
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<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition
<input type="checkbox"/> To Convert a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer Two (2)
<input type="checkbox"/> Notice to File Corrected Application Papers
<input type="checkbox"/> Substitute Specification | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
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REMARKS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Kevin M. Faulkner	Registration No.	45,427
Signature			
Date	April 6, 2004		

CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

April 6, 2004

Typed or printed name **Tammy L. Hodges**

Signature

Date

April 6, 2004

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Attny. Docket No. 2003U039.US
In Re Application of: Ching-Tai Lue, et al.			
U.S. Serial No. 10/724,946	Filing Date December 1, 2003	Examiner Unassigned	Group Art Unit 1713



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Alexandria, VA 22313-1450**

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

☐ This application is a:
☐ Continuation / Divisional,
☐ Continuation-in-Part,

of U.S.S.N. _____. Copies of the cited references are
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 50-0589.

Date

April 6/04

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INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

2003U039.US

SERIAL NO.

10/724,946

APPLICANT

Ching-Tai Lue, et al.

FILING DATE

December 1, 2003

GROUP

1713

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,110,685	05/05/1992	Cross et al.	428	494	
	AB	5,338,589	08/16/1994	Böhm et al.	428	36.9	
	AC	5,378,764	01/03/1995	Benham et al.	525	240	
	AD	5,494,965	02/27/1996	Harlin et al.	525	52	
	AE	5,795,941	08/18/1998	Cree et al.	525	240	
	AF	6,441,096	08/27/2002	Bäckman et al.	525	240	
	AG						
	AH						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AI	WO 94/22948	10/13/1994	PCT				
	AJ	WO 97/47682	12/18/1997	PCT				

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AK	
	AL	
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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant